



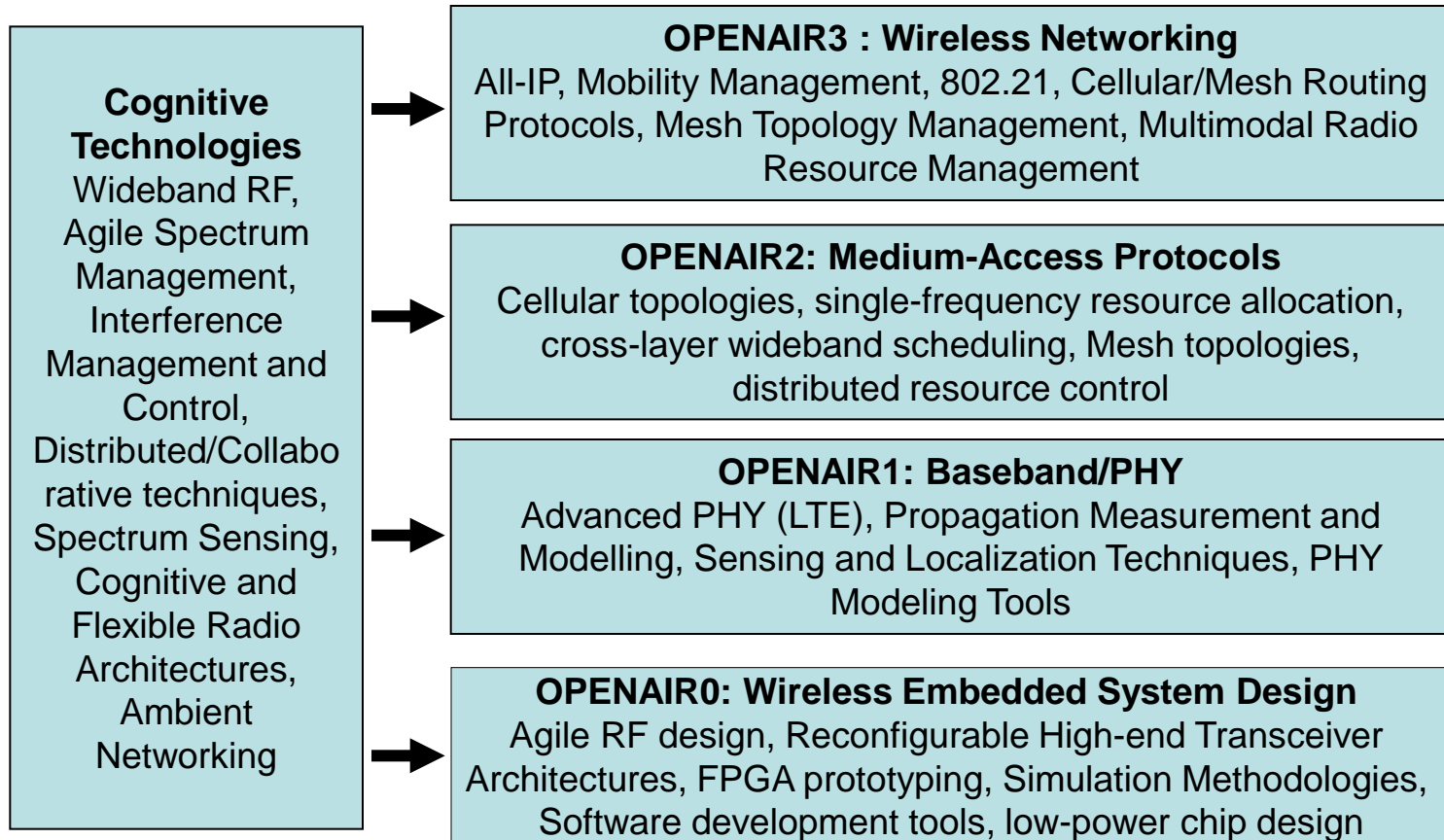
## OpenAirInterface Overview and Lab Session

Florian Kaltenberger, Raymond Knopp  
Eurecom

Newcom# Summer School May 2013

- **Provides open-source (hardware and software) wireless technology platforms**
  - target innovation in air-interface technologies through experimentation
- **We rely on the help of**
  - Publicly-funded research initiatives (ANR,ICT,CELTIC)
  - Direct contracts with industrial partners
  - Widespread collaboration with a network of partners using open-source development and tools
    - LINUX/RTAI based SW development for PCs
    - LEON3/GRLIB-based HW and eCos/MutexH-based SW development for FPGA targets
    - LINUX networking environment
  - Experimental Licenses from ARCEP (French Regulator) for medium-power outdoor network deployments
    - 1.9 GHz TDD, 5 MHz channel bandwidth
    - 2.6 GHz FDD (two channels), 20 MHz channel bandwidth
    - 800 MHz FDD (two channels) : 10 MHz channel bandwidth

# OpenAirInterface Development Areas



# Collaborative Web Tools

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- **OpenAirInterface SVN Repositories**

- All development is available through [www.openairinterface.org](http://www.openairinterface.org)'s SVN repository (openair4G) containing
  - OPENAIR0 (open-source real-time HW/SW)
  - OPENAIR1 (open-source real-time and offline SW)
  - OPENAIR2 (open-source real-time and offline SW)
  - OPENAIR3 (open-source Linux SW suite for cellular and MESH networks)
  - TARGETS : different top-level target designs (emulator, RTAI, etc.)
- Partners can access and contribute to our development

- **OpenAirInterface TWIKI**

- A TWIKI site for quick access by partners to our development via a collaborative HOW-TO

- **Forum**

- external support services (not currently used effectively)

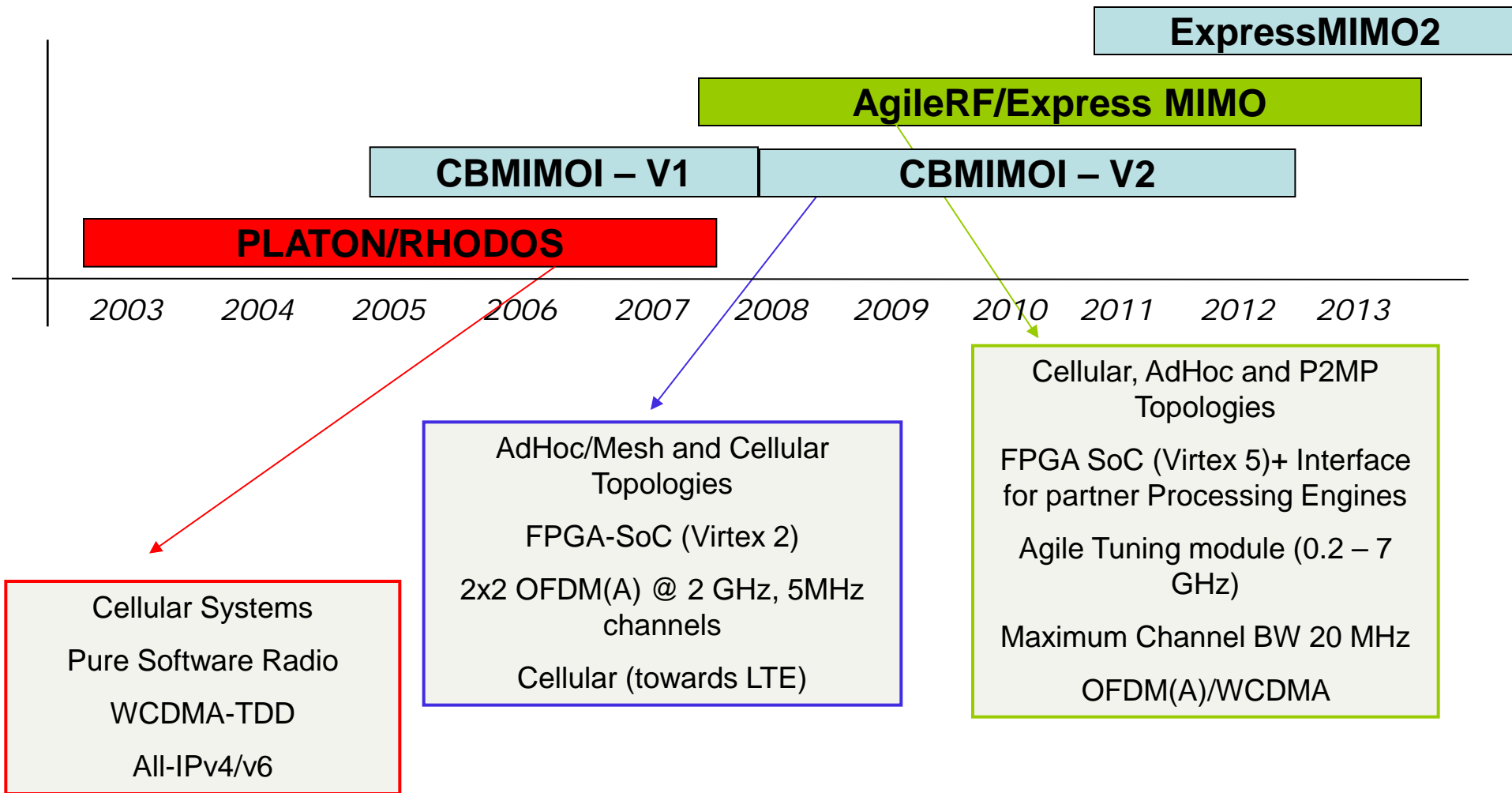
- **Mailing list**

- [openair4G-devel@eurecom.fr](mailto:openair4G-devel@eurecom.fr)

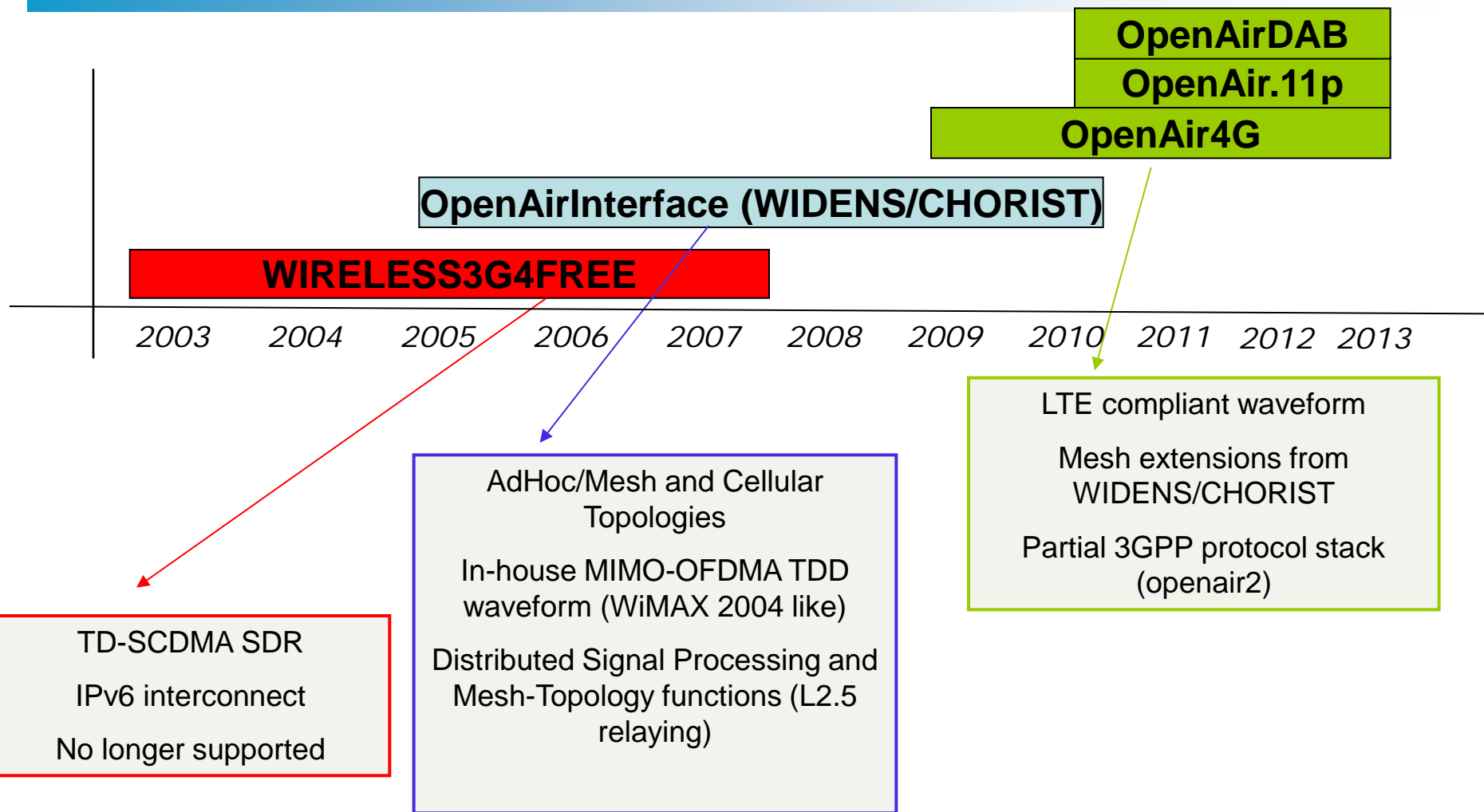
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# EQUIPMENT AND SW

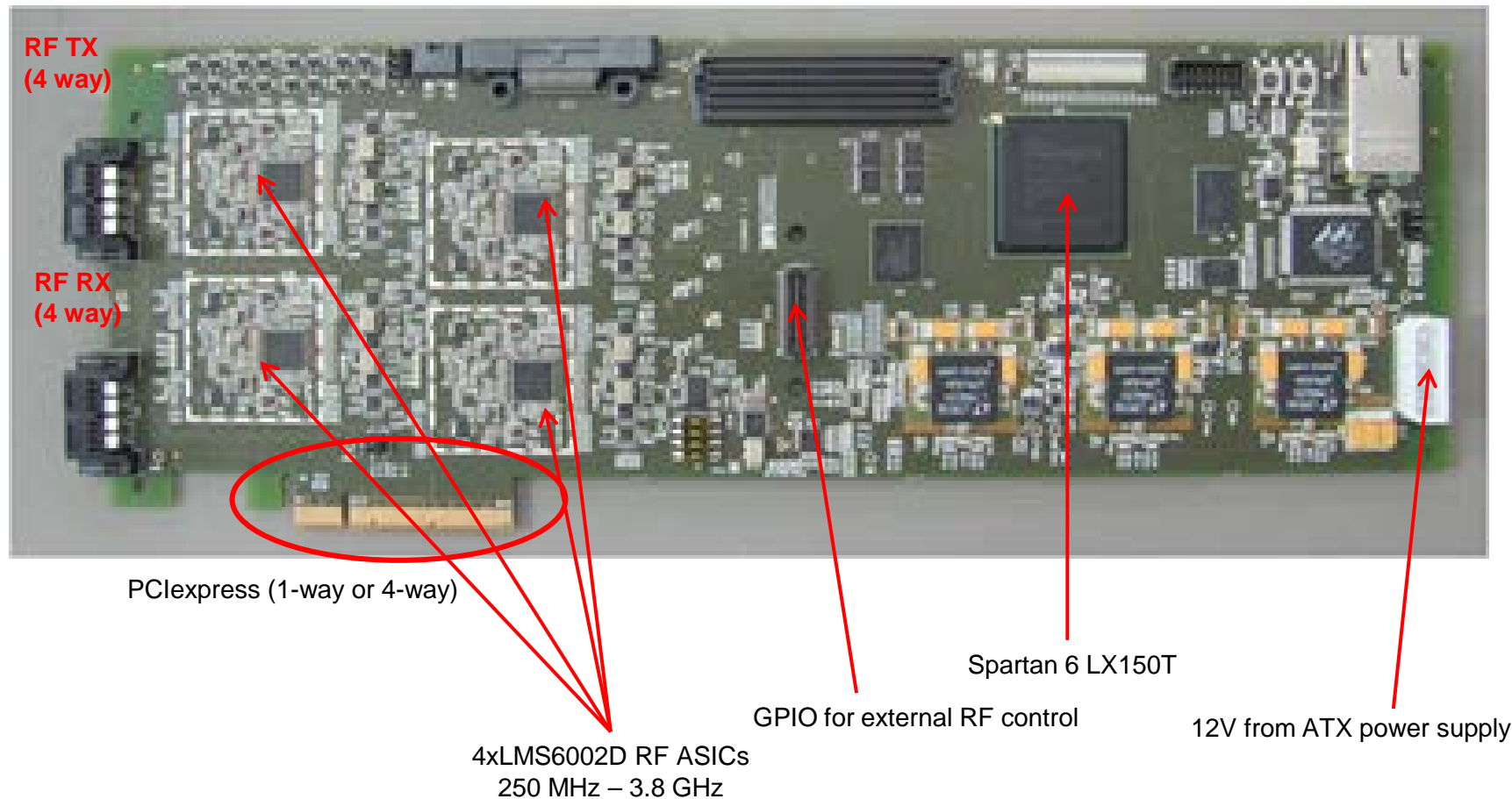
# Prototype Equipment Timeline



# Software Roadmap



# ExpressMIMO2





# ExpressMIMO2 key facts

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- **Spartan 6 LX150T FPGA (PCIexpress like ExpressMIMO)**
  - Derived from Xilinx/Avnet evaluation board (but smaller, medium-sized PCIe format)
  - Used for FFT and Turbo/Viterbi decoders (key processing bottlenecks)
  - Control of RF and acquisition from converters
- **4 LIME Semiconductor zero-IF RF chipsets**
  - TX, RX and A/D, D/A on single-chip (1.5cm x 1.5cm)
  - 300 MHz – 3.8 GHz tuning bandwidth
  - FDD or TDD operation requires external RF
  - LTE UE, RN RF compliance (EVM)
  - 0 dBm output power, up to 30dBm with external RF

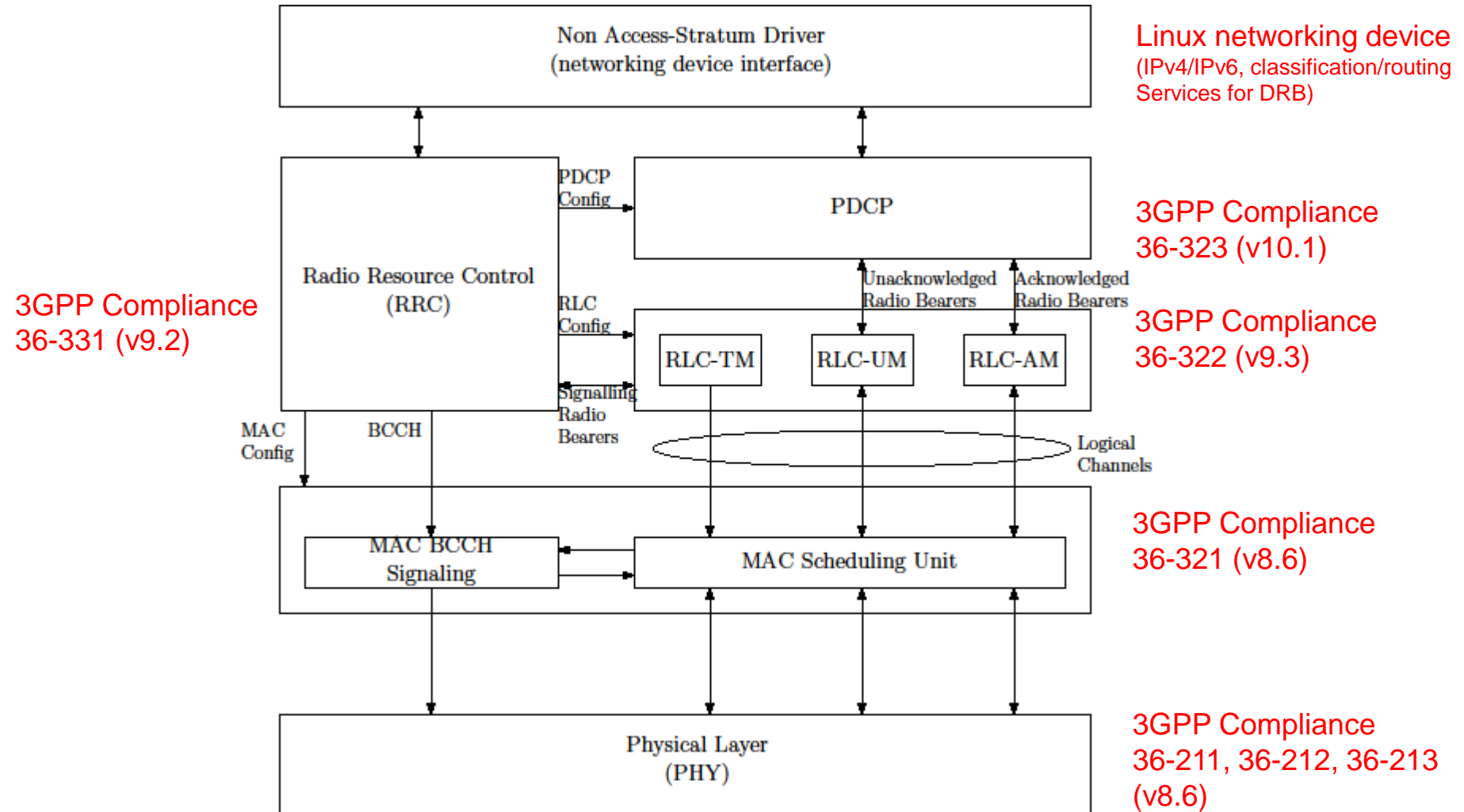
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# OPENAIR4G MODEM

# Purpose

- **Develop an open-source baseband implementation of a subset of LTE Release-8/9 on top of OpenAirInterface.org SW architecture and HW demonstrators**
- **Goals**
  - Representative of LTE access-stratum
    - Full compliance of LTE frame (normal and extended prefix)
    - Full Downlink shared channel compliance
    - Support for a subset of transmission modes (2x2 operation)
      - ☞ Modes 1,2,4,5,6 (Mode 3 to be studied for inclusion)
    - Support for up to 3 sectors in eNB
  - Useful for measurement campaigns
  - Useful as starting point for research-oriented extensions (to justifiably claim potential impact on LTE-A)
  - Provide realistic (and rapid) LTE simulation environment for PHY/MAC

# OpenAirLTE PHY/MAC Protocol Stack



# Current Status (LTE/LTE-A)

## ■ PHY (36.211,36.212,36.213)

- LTE softmodem for 5 MHz (1.5, 10 + 20 too, but not completely functional yet)
  - Subset of 36-211,36-212 and 36-213 specifications
  - Mode 1, Mode 2, Mode 5 and Mode 6 support
  - Mode 4 under integration
- Missing elements (the rest is largely supported)
  - User-selected and periodic feedback (not planned)
  - Modes 3,7 (not planned)
  - Rel-9/10 enhancements (Carrier Aggregation exists in branch)

## ■ MAC (36.321)

- Full random-access procedures
- eNB scheduler for all transmission modes
- UE Power headroom and BSR reporting

## ■ RLC (36.322)

- Complete UM/AM implementation, SRB interfaces with RRC for the moment

# Current Status

- **PDCP (36.323)**

- Currently just provides DRB interface for linux networking device
- No security and compressions features

- **RRC (36.331)**

- Two separate actions, RRC LITE and Cellular
- LITE
  - is LTE only, with ASN.1 messages (asn1c C code generator) and subset of LTE RRC procedures (RRCConnectionRequest/Setup,ReconfigurationRequest)
  - Empty security context establishment will be added
  - Currently integrating measurement reporting and MobilityControlInfo (handover)
  - Extendable for Mesh networks (LOLA)
  - No SAE NAS support currently, but could be added ...
- Cellular
  - Inherits RRC from W3G4Free (IP/UMTS)
  - Automatic code generation using Esterel Studio
  - “hand”-compressed messages and research-oriented NAS extensions for IPv6 interconnect (QoS and mobility management)

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# OPENAIR4G LAB SESSION 1

# Objectives

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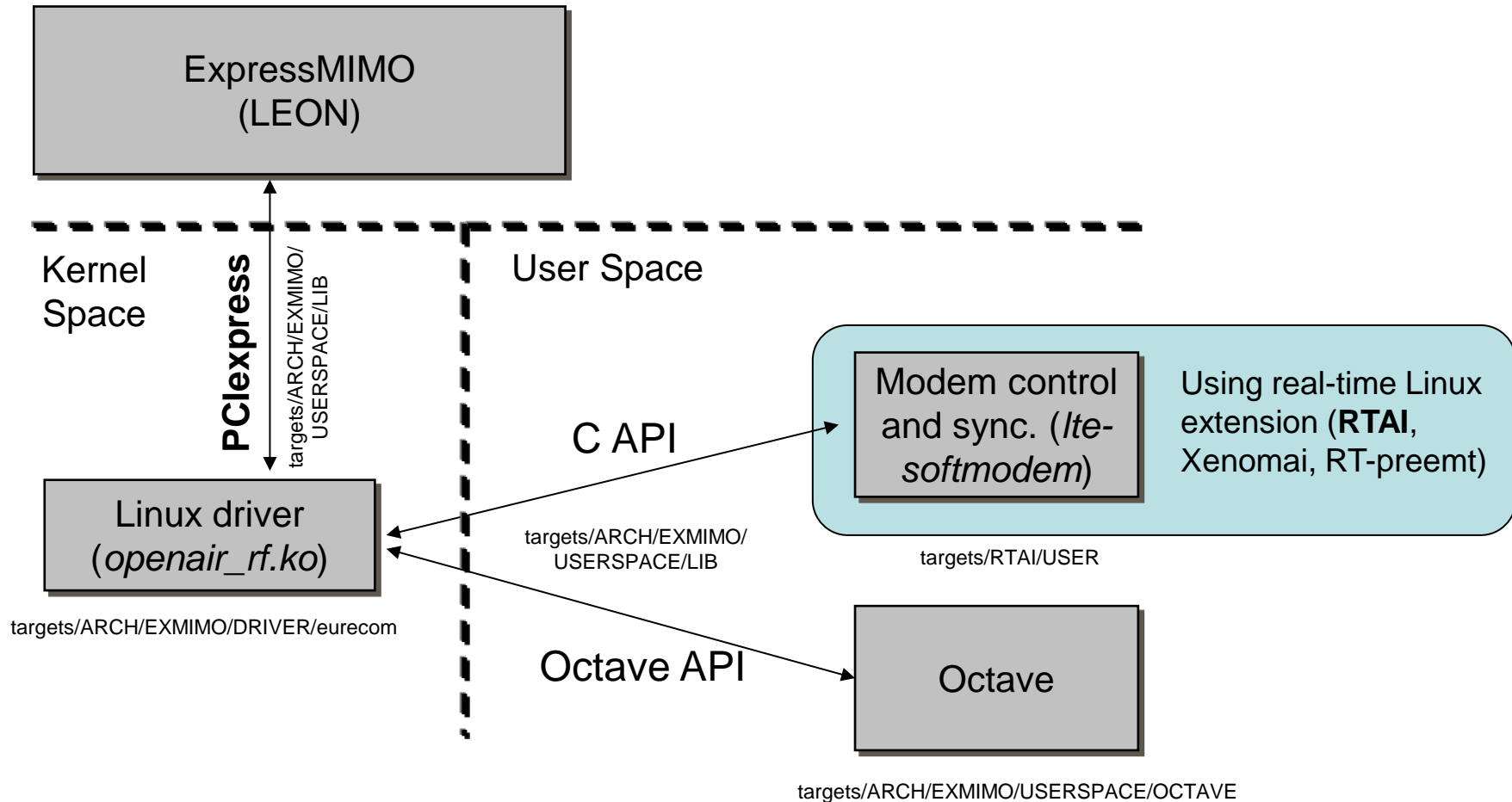
- **Familiarization of OpenAir4G Development Environment through a simple example**
  - Insertion of kernel modules for CBMIMO1 hardware
  - Control of HW with OCTAVE (signal acquisition)
  - Basic DSP example
    - LTE Initial synchronization
  - Control of HW with user-space C programs (signal acquisition) using OpenAir4G x86-based DSP
  - Basic principles of Real-time operation under RTAI with CBMIMO1



# Openair4G directories

- **Location**
  - <http://svn.eurecom.fr/openair4G/trunk> (read only)
  - <http://svn.eurecom.fr/openairsvn/openair4G/trunk> (read/write, requires account)
- **\$OPENAIR\_TARGETS**
  - Specific SW targets (SIMU,RTAI) for instantiating OpenAir4G components
- **\$OPENAIR1\_DIR**
  - Basic DSP routines for implementing subset of LTE specifications under x86 (36.211, 36.212, 36.213 3GPP specifications)
  - Channel simulation, sounding and PHY abstraction software,
- **\$OPENAIR2\_DIR (not for this lab session)**
  - MAC/RLC/PDCP/RRC
- **\$OPENAIR3\_DIR (not for this lab session)**
  - L3 IP-based Networking elements and applications

# ExpressMIMO software architecture



# Compiling and Loading the kernel modules

- **Start from** `$OPENAIR_TARGETS/RTAI/USER`
- **Compile kernel modules and firmware**
  - `make drivers`
- **This creates**
  - `$OPENAIR_TARGETS/ARCH/EXMIMO/DRIVER/eurecom/openair_rf.ko`
    - PCI/PCle driver for ExpressMIMO
    - LINUX character device interfaces (open,close,ioctl,mmap)
  - `$OPENAIR_TARGETS/ARCH/EXMIMO/USERSPACE/OAI_FW_INIT/updatefw`
    - Tool to update firmware in ExpressMIMO
  - `$OPENAIR2_DIR/NAS/DRIVER/MESH/nasmesh.ko`
    - NAS driver providing Linux networking interface

# Compiling and Loading the kernel modules

## ■ Identifying the HW

- To see that the HW is identified by Linux you can do `lspci` and you should see a device with the name “Xilinx Corporation ...”

## ■ Loading drivers and firmware

- `sh init_exmimo2.sh`
- Check that module is loaded using `lsmod`
- Check that firmware is initialized using `dmesg`

```
[ 78.055319] [LEON card0]: FWINIT: Will start execution @ 40000000, stack @ 43ffffff0
[ 78.744943] [LEON card0]: pcie_initialize_interface_bot(): firmware_block_ptr
15f00100, printk_buffer_ptr 15f40100, pci_interface_ptr 15f40500, exmimo_id_ptr
15f40700
[ 78.745318] [LEON card0]: System Info:
[ 78.746585] [LEON card0]: Bitstream: SVN Revision: 4855, Build date (GMT): Fri 2013-
03-15 10:31:49, User ID: 0x0001
[ 78.747824] [LEON card0]: Software: SVN Revision: 4863, Build date (GMT): Thu 2013-
04-18 08:44:32
[ 78.749034] [LEON card0]: ExpressMIMO-2 SDR! (Built on Apr 18 2013 10:44:33)
[ 78.750261] [LEON card0]: Initialized LIME.
[ 78.751496] [LEON card0]: Initializing RF Front end chain0 (to B19G_TDD).
[ 78.751759] [LEON card0]: ready.
```

# User-space applications

## ■ API to dialogue with driver

- `targets/ARCH/EXMIMO/USERSPACE/LIB/openair0_lib.h`

- `int openair0_open(void);`

- ☞ Initializes PCI interface `openair0_exmimo_pci` (see `targets/ARCH/EXMIMO/DEFS/pcie_interface.h`)

- `int openair0_close(void);`

- `int openair0_dump_config(int card);`

- ☞ Dumps `openair0_exmimo_pci` to the card

- `int openair0_get_frame(int card);`

- `int openair0_start_rt_acquisition(int card);`

- `int openair0_stop(int card);`

# User-space applications

## ■ OCTAVE wrapper API

- targets/ARCH/EXMIMO/USERSPACE/OCTAVE
- Gives access to API from OCTAVE
  - `oarf_config_exmimo` (see online help for parameters)
  - `sig = oarf_get_frame(card)`
  - `oarf_send_frame(card,sig,nbits)`
  - `oarf_stop(card)`
- To compile the .cc to .oct files (note: octave-headers needs to be installed), do
  - `make clean`
  - `make oarf`
  - `make gpib` (if you want gpib)
- Examine `rx_spec.m` as an example

# OCTAVE example (rx\_spec.m)

```
card=0;
limeparms;
rf_mode = (RXEN+TXLFPFNORM+TXLFPEN+TXLFP25+RXLFPFNORM+RXLFPEN...
           +RXLFP25+LNA1ON+LNAMax+RFBBNORM+DMAMODE_RX)*[1 1 0 0];
freq_rx = 1907600000*[1 1 1 1];
freq_tx = freq_rx;
tx_gain = 0*[1 1 1 1];
rx_gain = 30*[1 1 1 1];
rf_local= rf_local*[1 1 1 1];
rf_rxdc = rf_rxdc*[1 1 1 1];
rf_vcocal=rf_vcocal_19G*[1 1 1 1];
eNBflag = 0;
tdd_config = DUPLEXMODE_FDD + TXRXSWITCH_TESTRX;
syncmode = SYNCMODE_FREE;
rffe_rxg_low = 61*[1 1 1 1];
rffe_rxg_final = 61*[1 1 1 1];
rffe_band = B19G_TDD*[1 1 1 1];
```

➡ Set parameters

```
oarf_config_exmimo(card,freq_rx,freq_tx,tdd_config,syncmode,...
                  rx_gain,tx_gain,eNBflag,rf_mode,rf_rxdc,rf_local,...
                  rf_vcocal,rffe_rxg_low,rffe_rxg_final,rffe_band)
```

➡ Send parameters to card

```
s=oarf_get_frame(card);
```

➡ Get 10ms of signal from RX chains

```
f = (7.68*(0:length(s(:,1))-1)/(length(s(:,1))))-3.84;
spec0 = 20*log10(abs(fftshift(fft(s(:,1)))));
spec1 = 20*log10(abs(fftshift(fft(s(:,2)))));
```

➡ Compute spectrum

```
clf
plot(f',spec0,'r',f',spec1,'b')
axis([-3.84,3.84,40,160]);
legend('Antenna Port 0','Antenna Port 1');
grid
```

➡ Plot

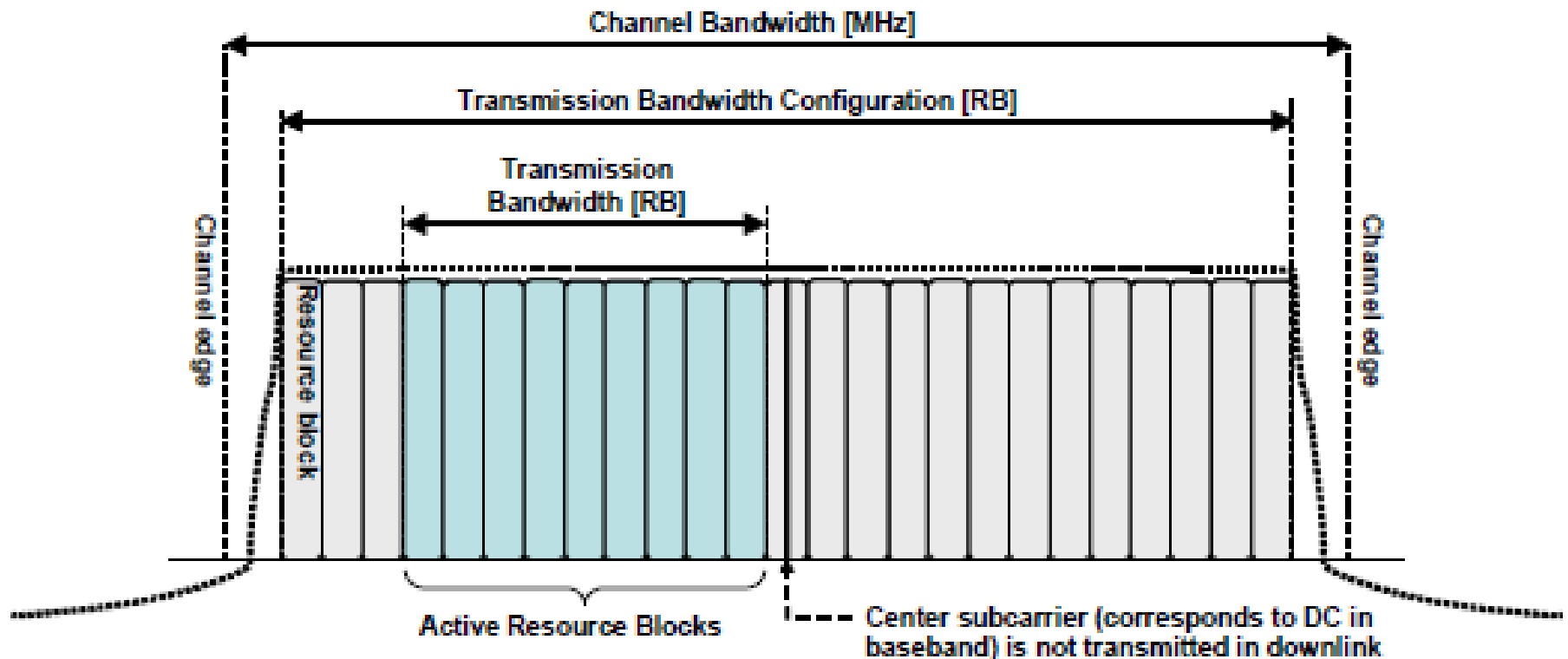
# LTE Initial Synch Example

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- **Need a few basics in LTE DL Transmission**
  - OFDM + QAM
  - Frame formats
  - Synchronization signals
    - Primary Synchronization Signal (PSS)
    - Secondary Synchronization Signal (SSS)
    - Physical Broadcast Channel (PBCH)
    - Cell-specific Reference Signals (CSRS)



# Resource blocks



- LTE defines the notion of a resource block which represents the minimal scheduling resource for both uplink and downlink transmissions
- A physical resource block(PRB) corresponds to 180 kHz of spectrum

# Common PRB Formats

Channel Bandwidth (MHz)	$N_{\text{RB}}^{\text{DL}}/N_{\text{RB}}^{\text{UL}}$	Typical IDFT size	Number of Non-Zero Sub-carriers (REs)
1.25	6	128	72
5	25	512	300
10	50	1024	600
15	75	1024 or 2048	900
20	100	2048	1200

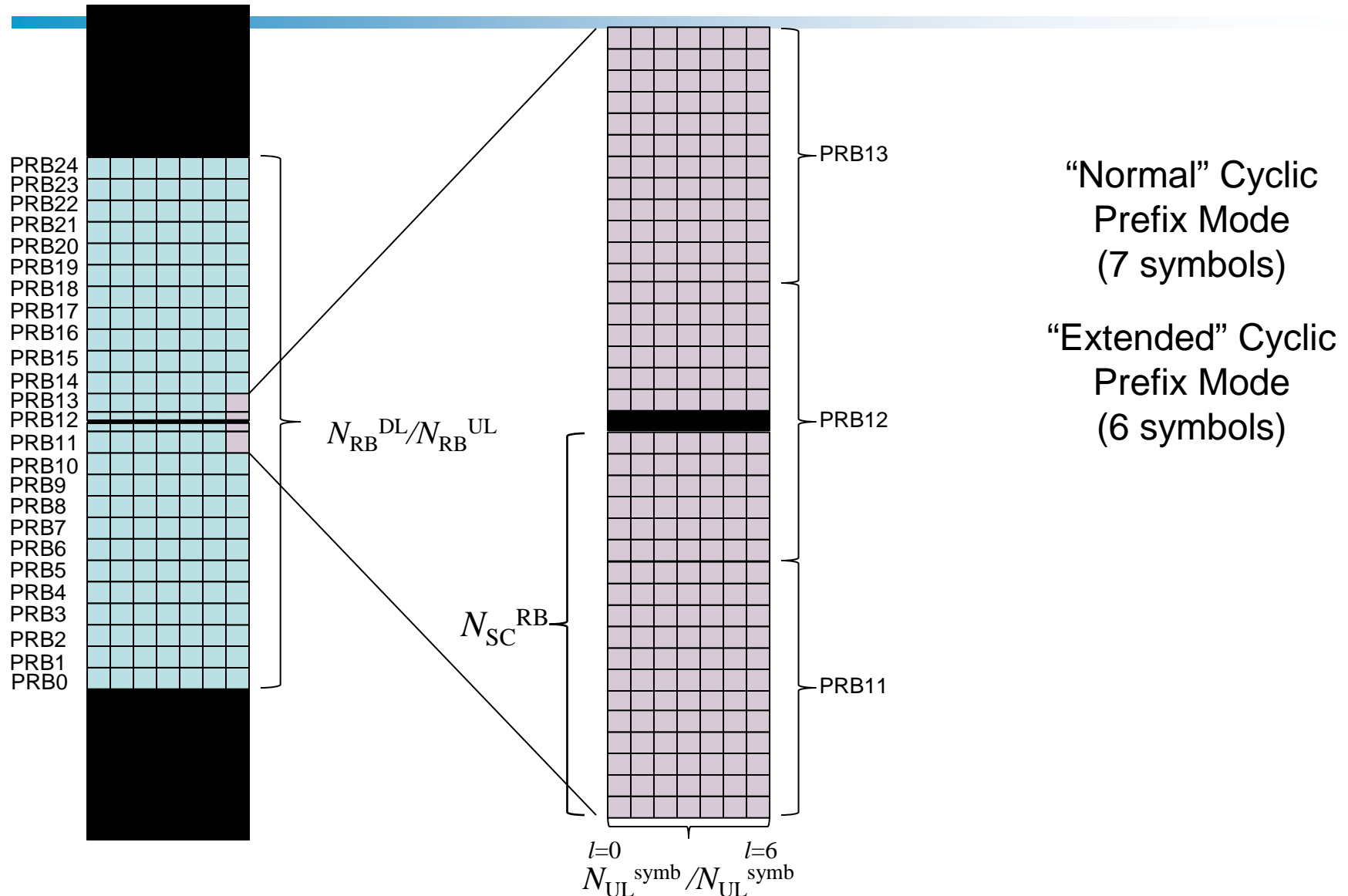
- PRBs are mapped onto contiguous OFDMA/SC-FDMA symbols in the time-domain (6 or 7)
- Each PRB is chosen to be equivalent to 12 (15 kHz spacing) sub-carriers of an OFDMA symbol in the frequency-domain
  - A 7.5kHz spacing version exists with 24 carriers per sub (insufficiently specified)
- Because of a common PRB size over different channel bandwidths, the system scales naturally over different bandwidths
  - UEs determines cell bandwidth during initial acquisition and can be any of above

# OFDMA/SC-FDMA Mapping

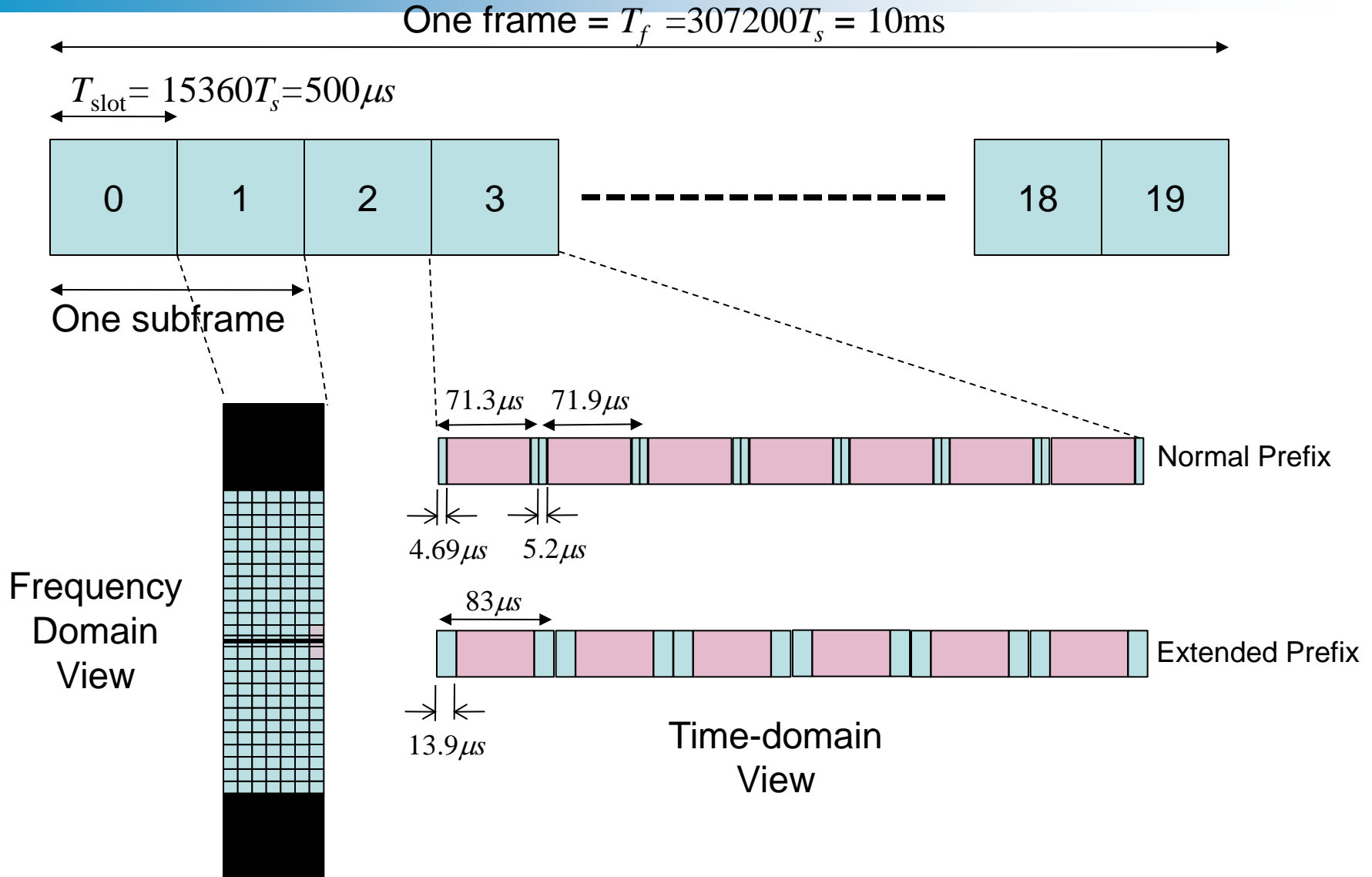
- OFDMA/SC-FDMA Sub-carriers are termed “Resource Elements” (RE)
- DC carrier (DL) and high-frequencies are nulled
  - Spectral shaping and DC rejection for Zero-IF receivers
  - Half the bandwidth loss w.r.t. WCDMA (22%)

Channel Bandwidth (MHz)	$N_{\text{RB}}^{\text{DL}}/N_{\text{RB}}^{\text{UL}}$	Bandwidth Expansion
1.25	6	8%
5	25	11%
10	50	11%
15	75	11%
20	100	11%

# Example: 300 REs, 25 RBs (5 MHz channel)



# Sub-frame and Frame

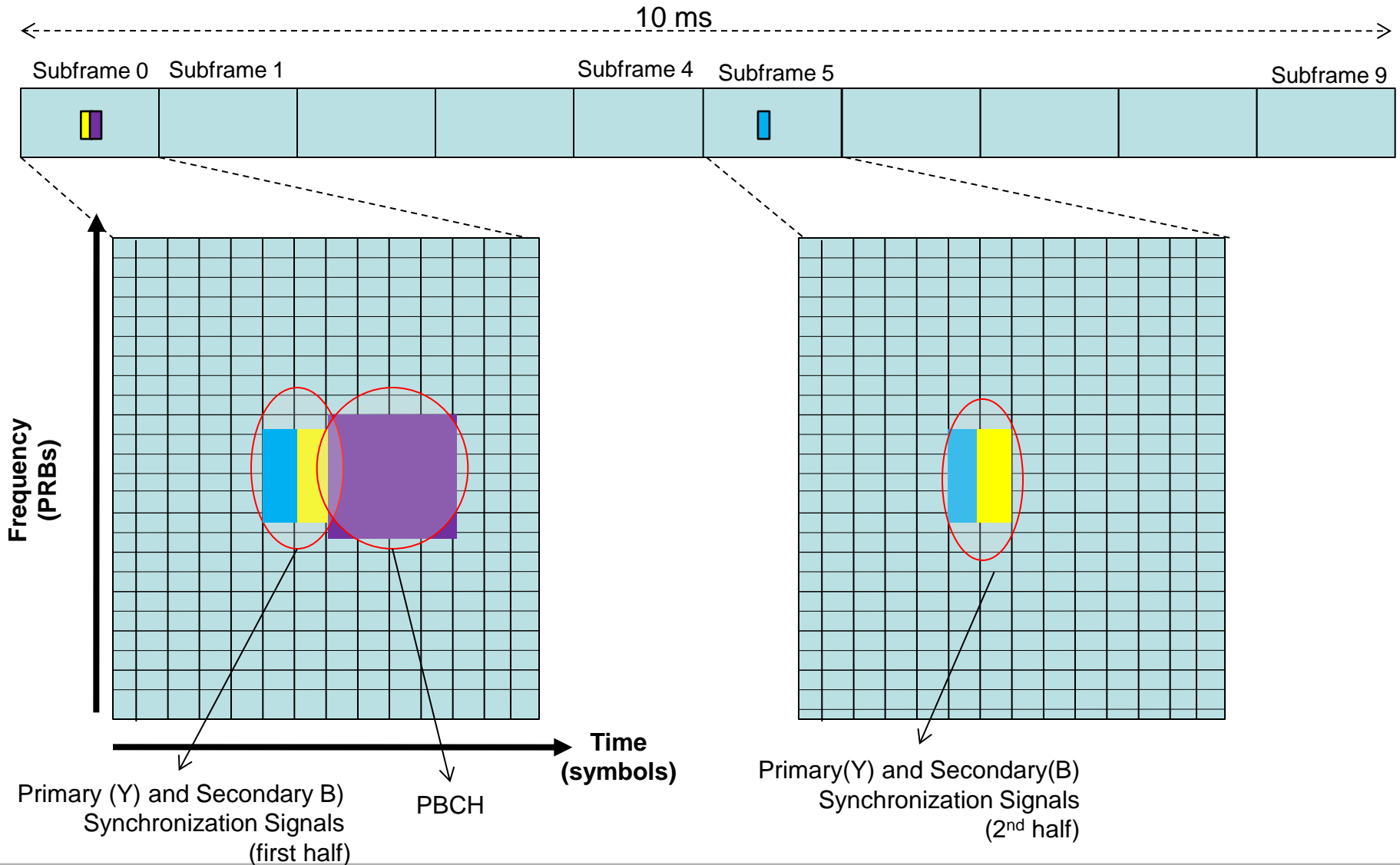


# LTE UE Synchronization Procedures

## ■ Cell Search comprises

1. Timing and frequency synchronization with the cell using the primary synchronization reference signal. This also gives the Cell ID group  $N_{\text{ID}}^{(2)}$  (0,1,2)
2. Cell ID  $N_{\text{ID}}^{(1)}$  (0,...,166) and Frame type (FDD/TDD, Normal/Extended Prefix) determination from secondary synchronization reference signals
3. Demodulation of PBCH (using  $N_{\text{ID}}^{\text{Cell}} = 3N_{\text{ID}}^{(1)} + N_{\text{ID}}^{(2)}$ ) to receive basic system information during steady-state reception
  1.  $N_{\text{RB}}^{\text{DL}}$  (cell bandwidth)
  2. PHICH-config (to allow PDCCH demodulation, for system information)
  3. Frame number (8 bits from payload, 2 bits from redundancy version)
  4. Antenna configuration (1,2,4 from CRC mask)

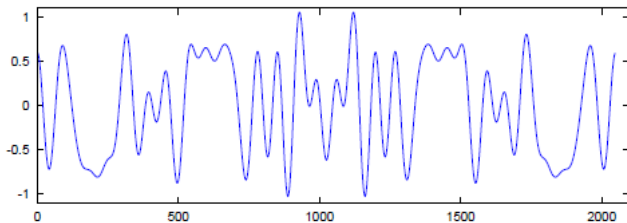
# Initial Timing/Frequency Acquisition (Synchronization Signals, FDD Normal CP)



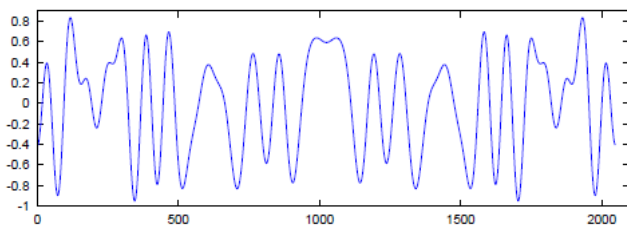
# Primary Synchronization Signal (PSS)

- Zadoff-Chu root-of-unity sequence has excellent auto-correlation properties and is very tolerant to frequency-offsets

$$d_u(n) = \begin{cases} e^{-j\frac{\pi u n(n+1)}{63}} & n = 0, 1, \dots, 30 \\ e^{-j\frac{\pi u (n+1)(n+2)}{63}} & n = 31, 32, \dots, 61 \end{cases}$$

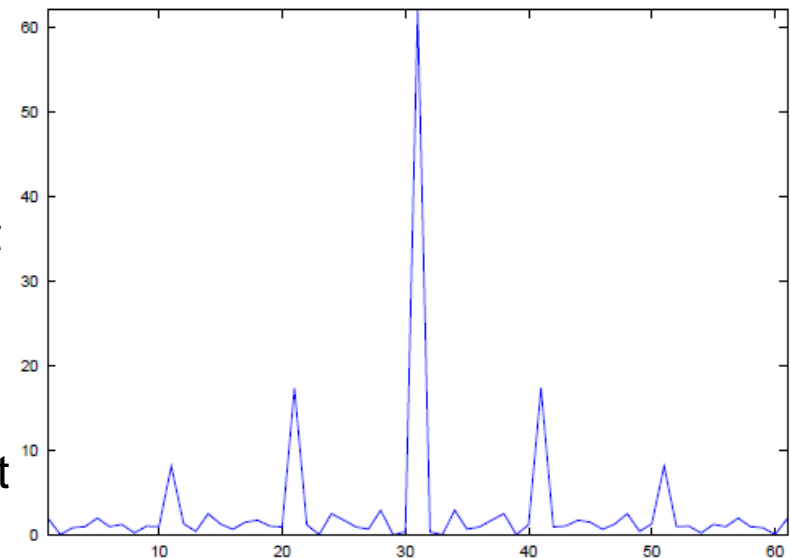


Real component  
(time-domain  
 $u=25$ )



Imag component  
(time-domain,  
 $u=25$ )

Autocorrelation sequence





# Primary Synchronization RX

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- Correlation of 3 primary sequences ( $d_u^*(-n)$ ) with received signal. Each eNB (or sector) has different sequence => Reuse pattern of 3 for different eNB or sectors ( $N_{ID}^{(2)}$ )
- Primary Purpose: Determine start of frame
- Alternate purposes: Channel estimation for SSS/PBCH and frequency offset estimation

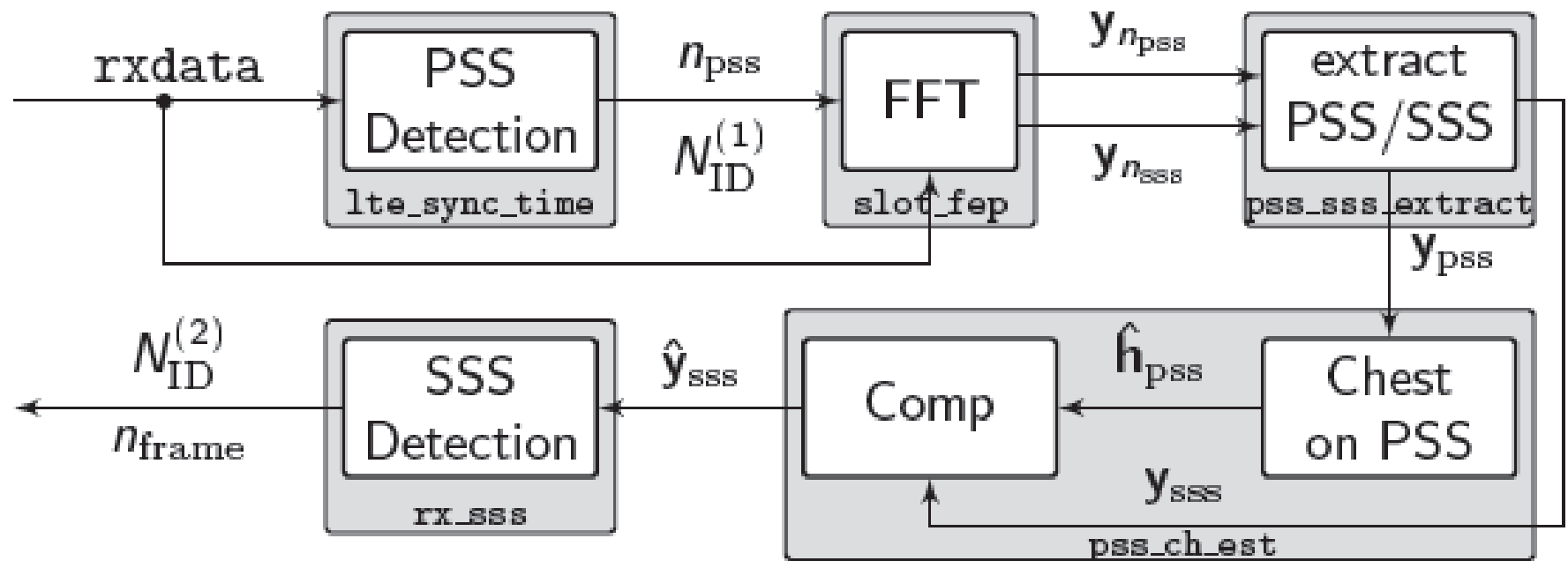
# Secondary Synchronization Signal (SSS)

- **Purpose**: determine frame type and cell ID  $N_{ID}^{(1)}$
- Implemented as BPSK-modulated interleaved sequence of two length-31 binary  $m$ -sequences ( $m=31$ ) with cyclic shifts  $m_0$  and  $m_1$ . and scrambled by the two different scrambling sequences
  - Results in 167 possible BPSK sequences for each of subframe 0 and 5
- The receiver must perform correlations with all 167 sequences and find the most likely transmitted sequence. It can use the output of the primary sequence correlation as a rough channel estimate to improve detection probability
- Position relative to PSS allows for frame type determination

# Secondary Synchronization RX

- Hypothesis: one of 4 frame types TDD/FDD, normal/extended prefix => gives position in samples of SSS with respect to PSS detected in primary synchronization
- Use channel estimate (partially coherent) from PSS and quantized uniform phase offset to compensate residual frequency offset (PSS/SSS not in same symbol) and amplitudes in SSS symbol
- Correlate with 167 out of  $167 * 3$  sequences (167 per PSS  $N_{ID}^{(2)}$ ) of length 62 in each of slots 0 and 10
- Choose sequence which has highest coherent correlation
- This has to be done with 2 different assumptions (subframe 0 or subframe 5 is first in RX buffer), or we just wait until we receive an RX frame in the correct order (i.e. when subframe 0 falls in the first 5 ms of the RX buffer)

# Summary



# Building the PSS/SSS Part First

- **OCTAVE files for PSS generation can be found here**
  - `openair1/PHY/LTE_REFSIG/primary_synch.m`
    - `primary_synch0` PSS in frequency domain
    - `primary_synch0_time` PSS in time domain (1.92MHz)
- **OCTAVE files for SSS generation can be found here**
  - `openair1/PHY/LTE_TRANSPORT/sss_gen.m`
    - `d0`, `d5` all  $168 \times 3$  SSS in frequency domain
- **Start an editor and create a file based on `rx_spec.m`, in the same directory so you have the OpenAir4G .oct files**

# Steps

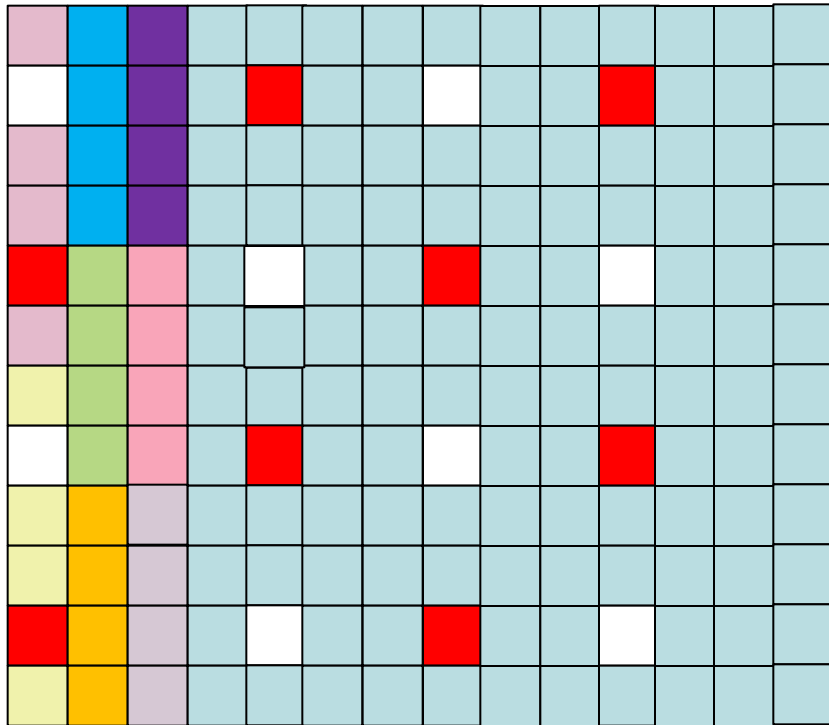
- **Upsample time-domain PSS to 7.68MHz (factor 4)**
- **Correlate received signal with this sequence and take magnitude (use `conv` and `abs`)**
- **Search for peaks (both) separated by 38400 samples (5 ms @ 7.68 Ms/s)**
- **Do above SSS procedure according to 4 potential SSS positions**
  - FDD/Normal CP : SSS is  $(512+36)$  548 samples before PSS
  - FDD/Extended CP: SSS is  $(512 + 128)$  640 samples before PSS
  - TDD/Normal CP: SSS is  $(512+36+512+40+512+40)$  1652 samples before PSS
  - TDD/Extended CP: SSS is  $(512+128+512+128+512+128)$  1920 samples before PSS

# PBCH Detection

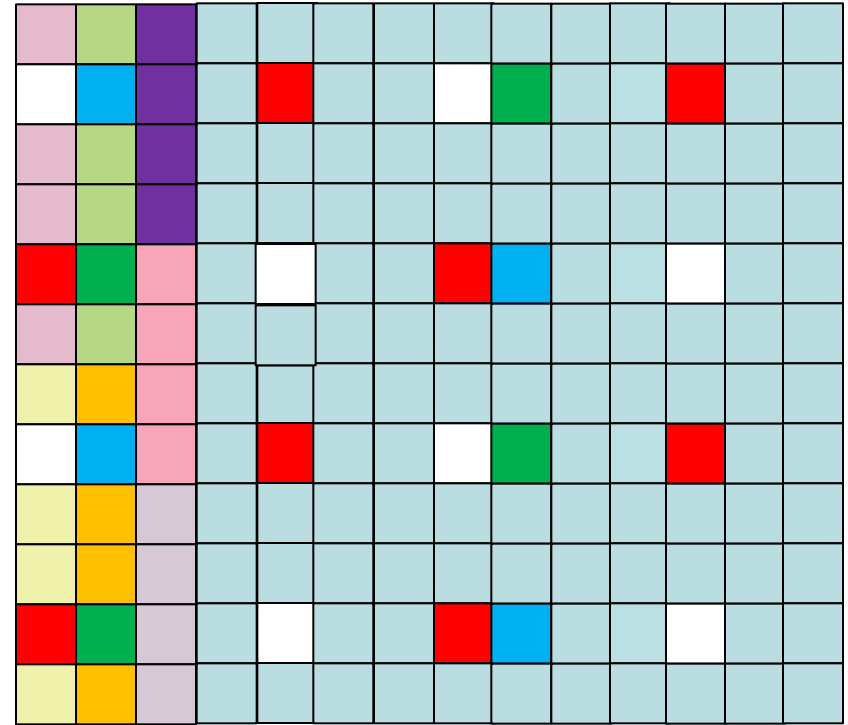
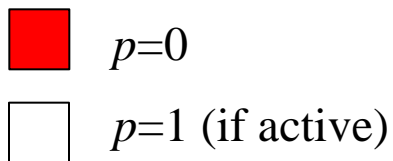
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- **Detection of the PBCH requires the following steps**
  1. Generation of the cell-specific reference signals based on the cell ID derived from SSS detection
  2. Performing channel estimation for the 4 symbols of the PBCH
  3. Extracting the PBCH reference elements
  4. Applying the conjugated channel estimates to the received reference elements
  5. Channel decoding

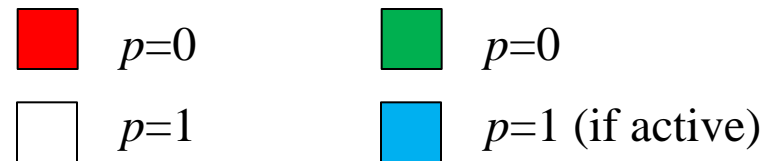
# Cell-Specific Reference Signals



$p=\{0\}, p=\{0,1\}$



$p=\{0,1,2,3\}$





# Cell-Specific Reference Signals

- **Pseudo-random QPSK OFDM symbols**
  - Based on generic LTE Gold sequence
  - Different sequence for different cell IDs
  - Different in each symbol of sub-frame
  - Different in each sub-frame, but periodic across frames (10ms)
- **Evenly spaced in subframe to allow for simple and efficient least-squares interpolation-based receivers**
  - Between REs in frequency-domain
  - Across symbols in time-domain

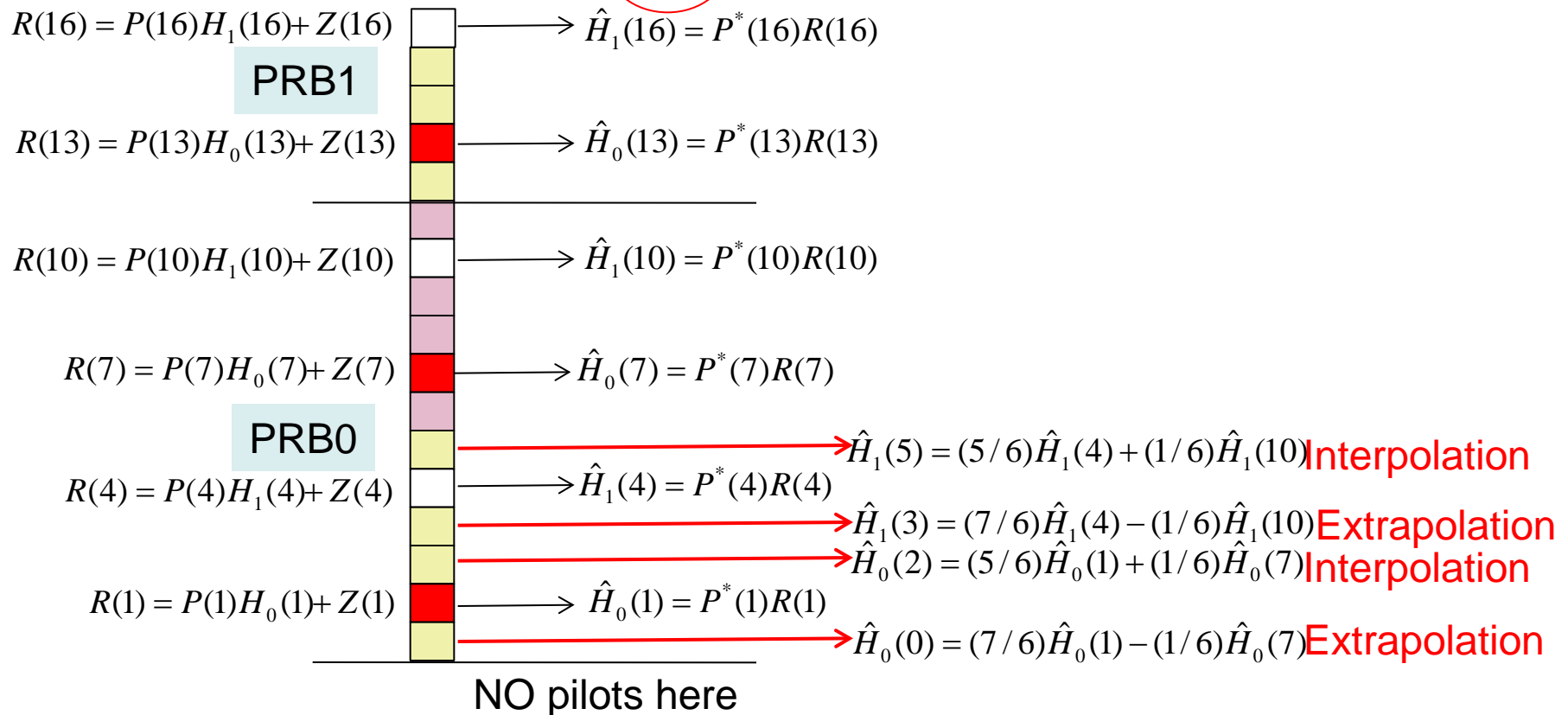
# Channel Estimation in LTE (simple)

- Recall that receiver sees

$$\mathbf{R}_k^N = \text{DFT}(\mathbf{r}_k^N) = \mathbf{H}^N \mathbf{S}_k^N + \mathbf{Z}_k^N$$

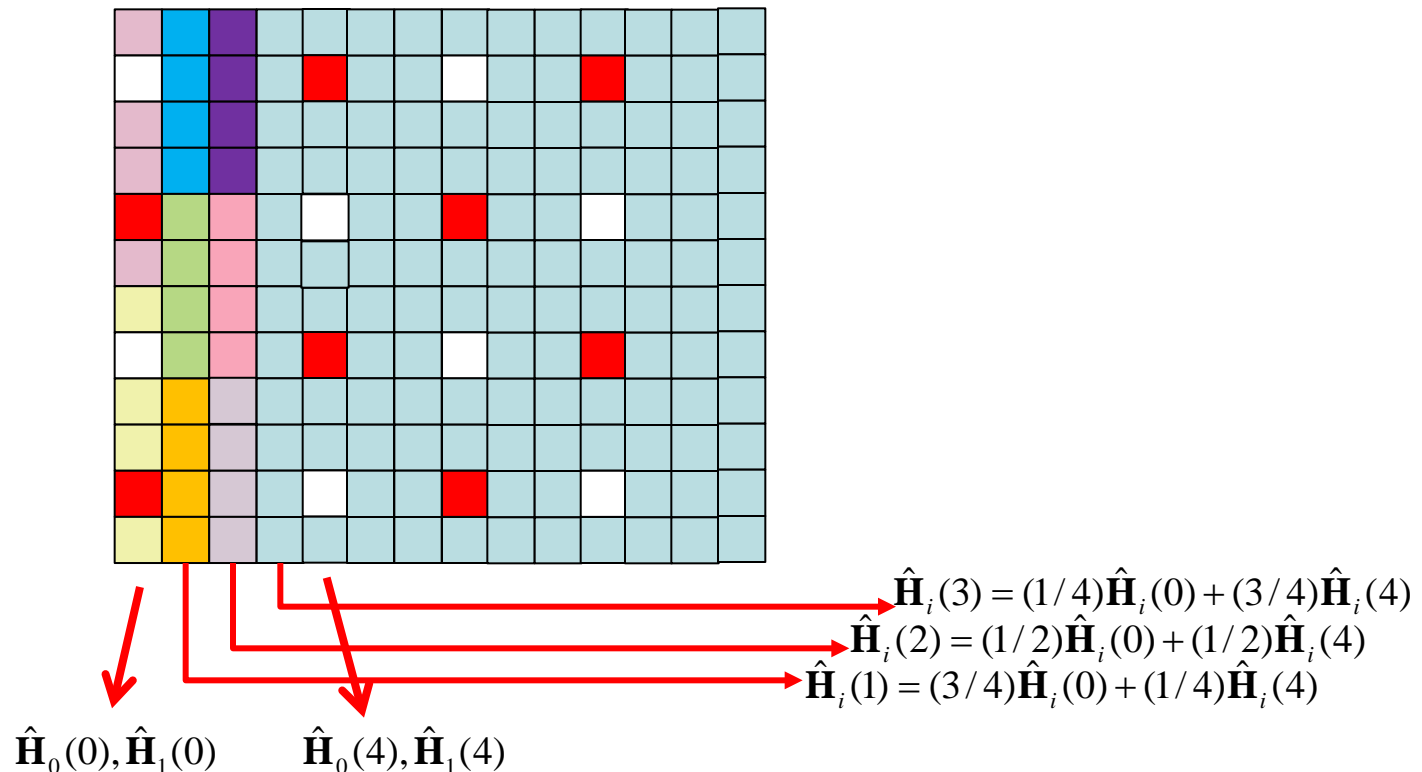
- Must get channel estimate for channel compensation

$$\hat{\mathbf{H}}^N = \mathbf{H}^N + \mathbf{H}_e^N \text{ Estimation error}$$



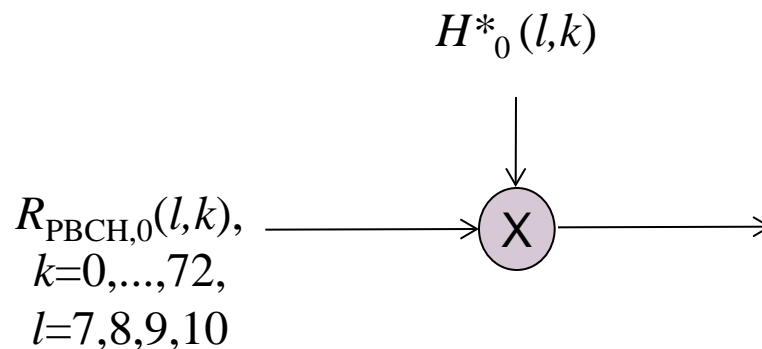
# Channel Estimation in LTE (simple) – cont'd

- The previous steps allow for determining the frequency response (MIMO) on symbols where the pilots are located
- For the remaining symbols, we perform time-interleaving across adjacent symbols with pilots



# Performing the Channel Estimation and Channel Compensation

- Use the supplied `txsigF0.m` file, which contains the transmit signal for the PBCH (normal prefix)
  - This is usually recomputed in the receiver (we will examine the C version later)
- Extract reference symbols (symbols 7 and 11) and perform the time/frequency interpolation
- Apply (channel compensation) the channel estimate to the received resource elements and plot the constellation of the output



# The rest ...

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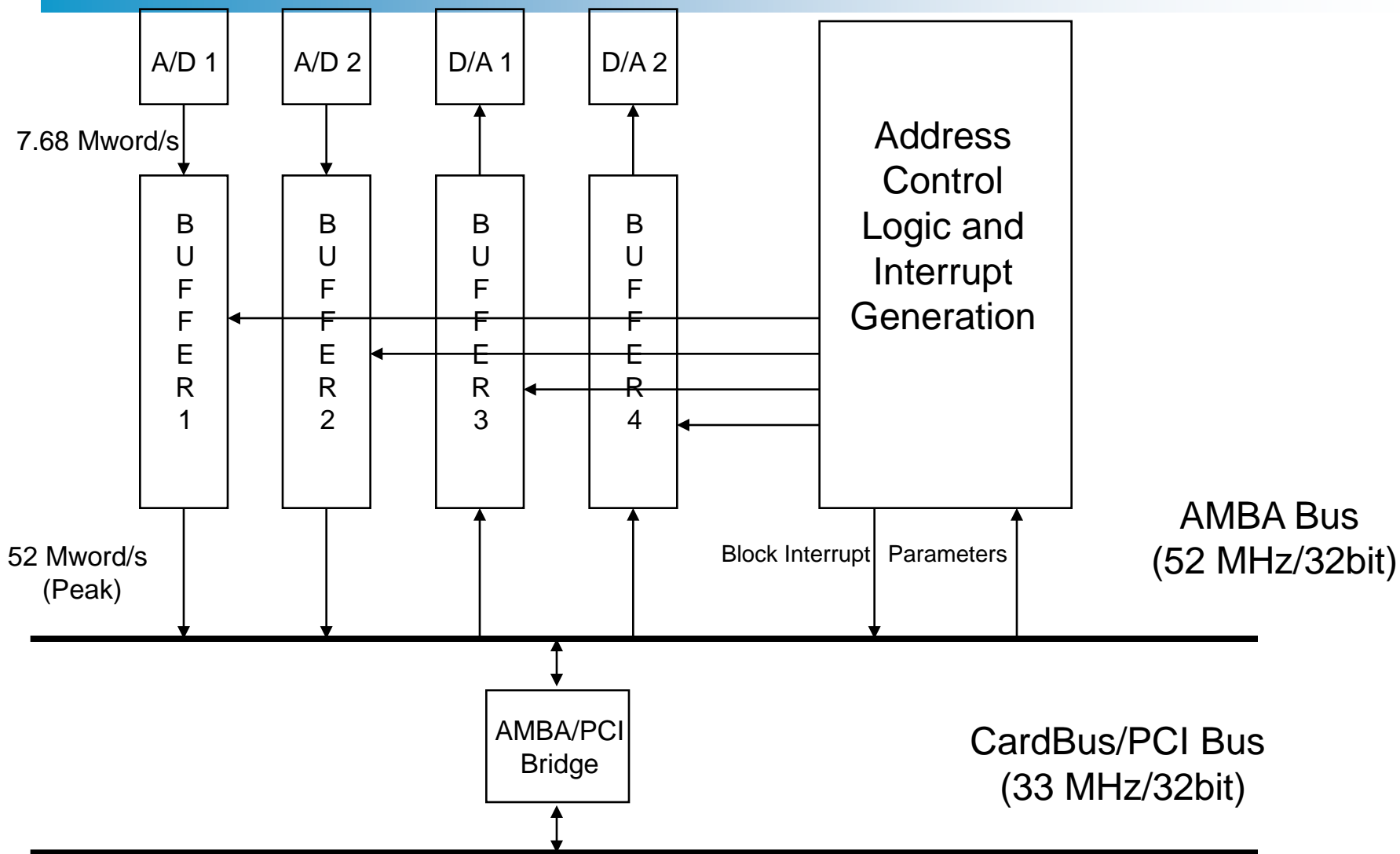
- **The rest we cannot do in OCTAVE (unless we implement all the channel decoding functions), but need to go to the OpenAir4G C implementation for**
  - Deinterleaving
  - Channel decoding
  - Descrambling
- **To see how this is done check out the following files**
  - `openair1/PHY/LTE_TRANSPORT/initial_sync.c`
  - `openair1/PHY/LTE_TRANSPORT/sss.c`
  - `openair1/PHY/LTE_TRANSPORT/pbch.c`

# Towards real-time operation

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- **Real-time operation requires real-time Linux extension**
  - Assures that threads are served with minimum latency and highest priority
- **Examples**
  - RTAI (used currently)
  - Xenomai
  - RT-preempt

# Acquisition (Card side)



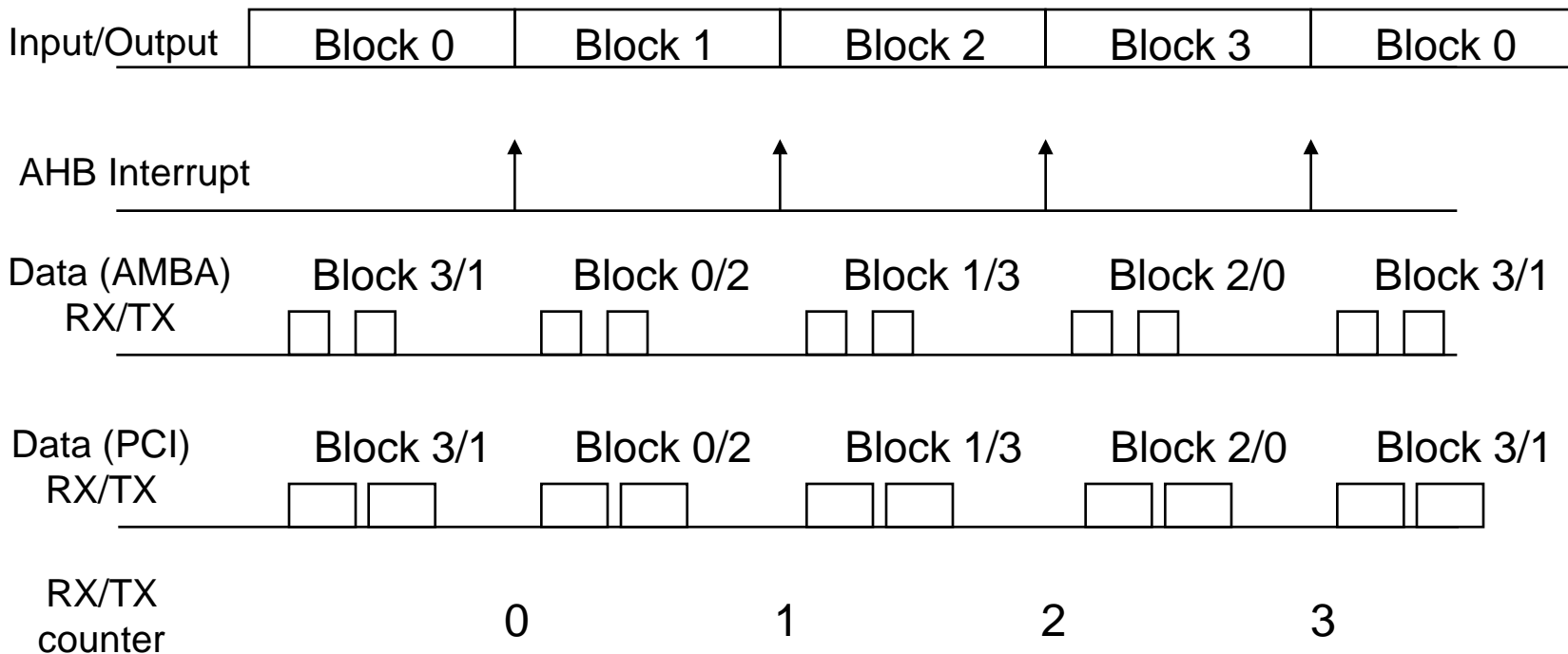
# Acquisition (AMBA)

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- AMBA can burst at a peak rate of 52 MHz, very comfortable.
- PCI DMA controller (GRPCI) on AMBA can't do quite this but it's close enough
- Acquisition unit stores blocks (minimum 2) of a programmable size ( $\leq 1$ Kbyte) and generates an interrupt to CPU at the end of each block. The CPU programs a 2 DMAs (one for each chain) AMBA->PCI (RX) or PCI->AMBA (TX)
- TX and RX cannot occur at the same time (time-division duplex)

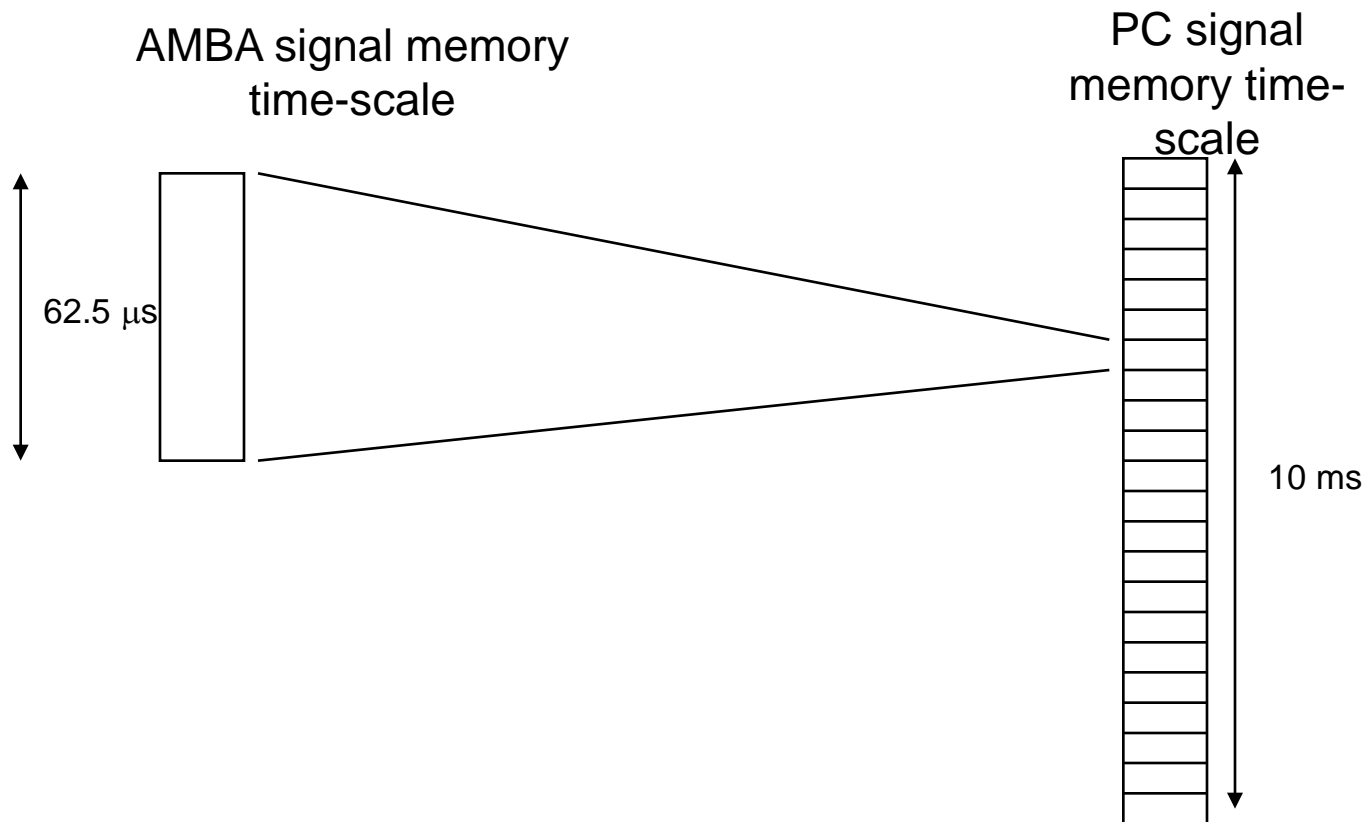


# Acquisition (AMBA)



- Blocks are 480 samples of signal (62.5  $\mu$ s)

# PC Memory View



# LTE softmodem application

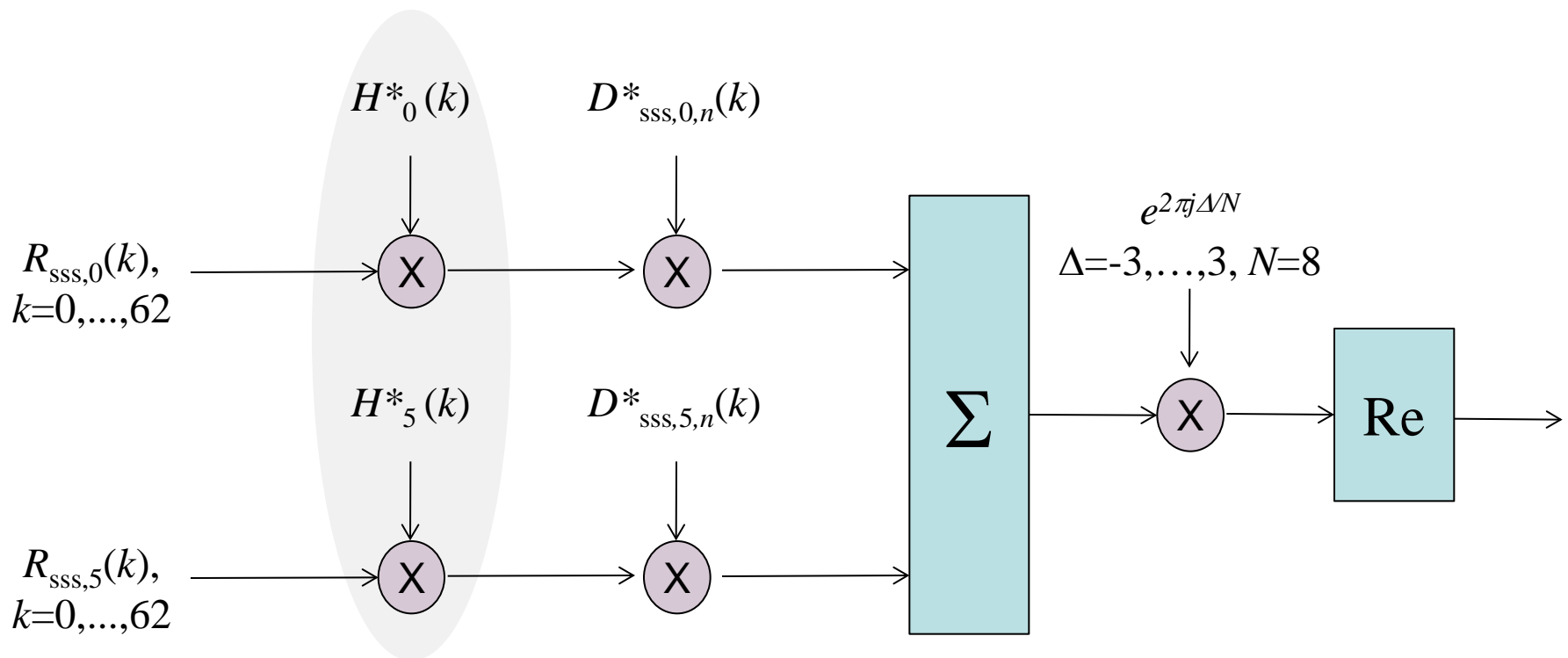
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- `targets/RTAI/USER/lte-softmodem.c`
- **Top-level UE/eNB real-time thread**
  - Synchronization to TX/RX counter using polling and sleep
  - Invokes inner-modem DSP processing  
(`phy_procedures_lte_ue` or `phy_procedures_lte_eNB`)
- **Other real-time threads for ULSCH/DLSCH decoding**
  - Woken up on reception of a ULSCH/DLSCH
  - Make use of multi-core CPUs to parallelize inner-MODEM and channel decoding (turbo-decoder)
  - Multiple decoding threads for higher throughput

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# BACKUP SLIDES

# Secondary Synchronization RX



$$H_0^*(k) = D_{\text{pss},0,u}(k) R_{\text{sss},0}^*(k), k=0,\dots,62$$

$$H_5^*(k) = D_{\text{pss},5,n}(k) R_{\text{sss},5}^*(k), k=0,\dots,62$$

PSS-based channel estimates